National Assessment Agency

24 September 2008

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INVESTOR IN PEOPLE

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#### Dear Mr Laws

The Secretary of State for Education, the Rt Hon Ed Balls MP, has asked me to write to you concerning a number of parliamentary questions you recently asked.

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**MR DAVID LAWS (YEOVIL):** To ask the Secretary of State for Children, Schools and Families, how many key stage two scripts were unmarked as at 14th July; and if he will make a statement. **(221782)** 

how many key stage two and key stage three text scripts were sent out to the wrong markers in (a) 2007 and (b) 2008; and if he will make a statement. (221783)

how many key stage two and key stage three text scripts were reported mislaid in (a) 2006, (b) 2007 and (c) 2008; and if he will make a statement **(221784)** 

how many key stage two and key stage three test markers were rejected for lack of reliability during the live marking of the tests in (a) 2006, (b) 2007 and (c) 2008; and if he will make a statement. (221785)

what proportion of (a) key stage two and (b) key stage three tests in (i) science, (ii) English and (iii) mathematics were (A) marked, (B) marked with results entered into a computer system, (C) marked and returned to the relevant school by (1) 8th July and (2) 15th July; and if he will make a statement. (221787)

what proportion of key stage two and key stage three scripts were sent out late to markers in (a) 2006, (b) 2007 and (c) 2008; and if he will make a statement **(221788)** 

what percentage of appeals against key stage two and key stage three marking were upheld in each year from 2005 to 2008; how many appeals were received in each year; and if he will make a statement. (221789)

The answers are as follows:

#### 221783

The process for transporting scripts from schools to markers has been managed by the test operations agency in both 2007 and 2008. In 2007, schools were responsible for directly sending scripts to markers, whilst in 2008 this process was managed centrally.

In both 2007 and 2008 the test operations agency was required to provide the NAA with information on marking progress. The level of detail provided by the test operations agencies did not include data on incorrect deliveries to markers.



## 221784

The number of key stage 2 and key stage 3 scripts reported as mislaid are as follows:

- 2006 1,661 scripts (approximately 0.04%)
- 2007 2,315 scripts (approximately 0.06%)
- 2008 The number of mislaid scripts is not known until the end of the cycle, after all suspected missing scripts have been investigated. Investigations for the 2008 cycle are not yet complete.

#### <u>221785</u>

At the outset of marking, markers are required to standardise to demonstrate that they can apply the markscheme reliably. Markers that fail standardisation are stopped from marking.

In each of the last three years, after standardising, markers have been subject to quality checks to ensure they continue to apply the mark scheme correctly. In 2006 and 2007, markers were checked twice during the marking cycle, and the checks consisted of the marker providing their team leader with a self-selected sample of their marking. This self-selected sample was then checked by the team leader. If the team leader believed that the marker was not correctly applying the mark scheme, they could request a second sample. If the team leader was not satisfied with the second sample, then the marker would be stopped.

In 2008, markers were required to complete between 3 and 5 benchmarks, with the exact number depending on key stage and subject, as well as allocation size. In each benchmarking attempt, markers were required to mark a set of national standard scripts. If a marker failed a benchmark attempt, they would be mentored by their team leader, before being given a second opportunity to benchmark. If the marker failed the second benchmark attempt, then the marker would be stopped.

The following table shows the number of markers who were either stopped by standardisation or stopped during the marking cycle because they failed both attempts at a marking quality check, or, having failed a first attempt at a marking quality check, chose to withdraw from marking rather than make a second attempt.

| Year | Markers stopped |
|------|-----------------|
| 2006 | 288             |
| 2007 | 212             |
| 2008 | 283             |

In evidence to the Select Committee, ETS Europe stated that 405 markers had been stopped during 2008. This does not tally with the data ETS Europe has provided the NAA, and the NAA is currently seeking further information on how the 405 was calculated.

#### 221787 & 221782

On 14 July, ETS information provided to NAA relating to unmarked scripts held at marking centres indicated that there were no unmarked key stage 2 scripts.

It was known that there were scripts that were not data entered on both 8 July and 15 July but unfortunately the ETS management reports at that time could only identify scripts that were marked and data entered.

Later additional unmarked KS2 scripts were identified by ETS Europe in their possession in the warehouse and some were returned from schools after having being incorrectly dispatched.

The following table shows the number of test papers that had been marked and submitted (data entered) by each key stage and subject. Because each script comprises a number of papers, the *Equivalent scripts* column shows the approximate number of scripts the test papers represent. For your information, the number of papers per script is indicated in

brackets next to the subject. Furthermore, because the KS3 English components are marked by different markers, the table shows the reading and writing components separately.

| Subject           | Papers<br>(8 July) | Equivalent scripts<br>(8 July) | Papers<br>(15 July) | Equivalent scripts<br>(15 July) |
|-------------------|--------------------|--------------------------------|---------------------|---------------------------------|
| KS2 English (3)   | 1,625,125          | 541,708                        | 1,676,492           | 558,831                         |
| KS2 Maths (3)     | 1,688,237          | 562,746                        | 1,706,478           | 568,826                         |
| KS2 Science (2)   | 1,124,103          | 562,052                        | 1,150,957           | 575,478                         |
| KS3 English R (2) | 929,582            | 464,791                        | 936,211             | 468,106                         |
| KS3 English W (1) | 458,750            | 458,750                        | 493,537             | 493,537                         |
| KS3 Maths (3)     | 1,661,777          | 553,926                        | 1,694,621           | 564,874                         |
| KS3 Science (2)   | 1,070,206          | 535,103                        | 1,095,493           | 547,746                         |

The management information pertaining to script deliveries to schools did not provide data at script level.

## 221788

The process for transporting scripts from schools to markers has been directly managed by the test operations agency for all of 2006, 2007 and 2008. In both 2006 and 2007, schools were responsible for directly sending scripts to markers, whilst in 2008 this process was managed centrally.

In 2006 and 2007 this information was not provided to the NAA as part of the standard management information reporting, while in 2008 this information was not reported at script level. It is known, however that by 23 May, approximately 66 per cent of script boxes had been despatched to markers.

## <u>221789</u>

The percentage of appeals is included in the attached table. Information on 2008 reviews is not yet available.

Yours sincerely

David Gee Managing Director, NAA

## MARKING REVIEWS SUMMARY

# Key Stage 2

|      |                   | Schools | involved ir | n reviews | Reviews requested (pupils) |      | Reviews resulting in a lower<br>level (Pupil) |       |       | Reviews resulting in an increase in level (Pupil) |       |       |       |
|------|-------------------|---------|-------------|-----------|----------------------------|------|---|-------|-------|---|-------|-------|-------|
|      | Reviews           | 2005    | 2006        | 2007      | 2005                       | 2006 | 2007  | 2005  | 2006  | 2007  | 2005  | 2006  | 2007  |
|      | Clerical review   | 997     | 1054        | 914       | 1593                       | 1902 | 1474  | 178   | 44    | 45  | 560   | 384   | 341   |
|      | Clerical review   | 997     | 1054        | 914       | 1595                       | 1892 |   | 0.03% | 0.01% | 0.01%   | 0.09% | 0.06% | 0.05% |
| KS2E | Individual review | 2846    | 1956        | 1693      | 15509                      | 7516 | 5736  | 26    | 7     | 8   | 762   | 505   | 185   |
| NOZE | mainauaireview    | 2040    | 1950        | 1095      | 15509                      | 7510 | 7516 5736                                     | 0.00% | 0.00% | 0.00%   | 0.12% | 0.08% | 0.03% |
|      | Group review      | 89      | 184         | 82        | 4561                       | 9957 | 9957 3784                                     | 55    | 56    | 60  | 320   | 149   | 49    |
|      |                   | - 09    | 104         | 52        | -301                       | 5557 | 5704  | 0.01% | 0.01% | 0.01%   | 0.05% | 0.02% | 0.01% |

| Proportion of requested<br>reviews resulting in a level<br>change |      |      |  |  |  |  |  |  |  |
|---|------|------|--|--|--|--|--|--|--|
| 2005  | 2006 | 2007 |  |  |  |  |  |  |  |
| 46%   | 23%  | 26%  |  |  |  |  |  |  |  |
| 5%  | 7%   | 3%   |  |  |  |  |  |  |  |
| 8%  | 2%   | 3%   |  |  |  |  |  |  |  |

|      |                   | Schools | involved ir | n reviews | Reviews requested (pupils) |      |         | resulting i<br>evel (Pupil |       | Reviews resulting in an increase in level (Pupil) |       |       |       |
|------|-------------------|---------|-------------|-----------|----------------------------|------|---------|----------------------------|-------|---|-------|-------|-------|
|      | Reviews           | 2005    | 2006        | 2007      | 2005                       | 2006 | 2007    | 2005                       | 2006  | 2007  | 2005  | 2006  | 2007  |
|      | Clerical review   | 405     | 369         | 335       | 668                        | 107  | 487 447 | 112                        | 14    | 11  | 319   | 79    | 75    |
|      | Clerical review   | 405     | 309         | 330       | 000                        | 487  |         | 0.02%                      | 0.00% | 0.00%   | 0.05% | 0.01% | 0.01% |
| KS2M | Individual review | 444     | 583         | 554       | 578                        | 755  | 670     | 3                          | 0     | 0   | 282   | 324   | 286   |
| NO2M | Individual review | 444     | 505         | 554       | 576                        | 755  | 070     | -                          | -     | -   | 0.04% | 0.05% | 0.04% |
|      | Group review      | 0       | 1           | 0         | 0                          | 67   | 0       | 0                          | 1     | 0   | 0     | 2     | 0     |
|      | Croup review      | 0       | 1           | 5         | 5                          | 51   | 0, 0    | -                          | -     | -   | -     | -     | -     |

| Proportion of requested<br>reviews resulting in a level<br>change |      |      |  |  |  |  |  |  |  |
|---|------|------|--|--|--|--|--|--|--|
| 2005  | 2006 | 2007 |  |  |  |  |  |  |  |
| 65%   | 19%  | 19%  |  |  |  |  |  |  |  |
| 49%   | 43%  | 43%  |  |  |  |  |  |  |  |
| -   | 4%   | -    |  |  |  |  |  |  |  |

|      | _                 | Schools | involved ir | n reviews | Reviews requested (pupils) |      |      | resulting i<br>evel (Pupi |       | Reviews resulting in an increase in level (Pupil) |       |       |       |
|------|-------------------|---------|-------------|-----------|----------------------------|------|------|---------------------------|-------|---|-------|-------|-------|
| _    | Reviews           | 2005    | 2006        | 2007      | 2005                       | 2006 | 2007 | 2005                      | 2006  | 2007  | 2005  | 2006  | 2007  |
|      | Clerical review   | 350     | 341         | 309       | 508                        | 428  | 389  | 74                        | 13    | 9   | 277   | 65    | 80    |
|      | Clerical review   | 330     | 541         | 309       | 508                        | 420  | 309  | 0.01%                     | 0.00% | 0.00%   | 0.04% | 0.01% | 0.01% |
| KS2S | Individual review | 611     | 746         | 837       | 885                        | 1164 | 1340 | 2                         | 0     | 2   | 435   | 525   | 555   |
| N323 | Individual review | 011     | 740         | 037       | 000                        | 1104 | 1340 | -                         | -     | -   | 0.07% | 0.08% | 0.09% |
|      | Group review      | 0       | 1           | 0         | 0                          | 27   | 0    | 0                         | 0     | 0   | 0     | 0     | 0     |
|      | Group review      | 0       | 1           | 0         | 0                          | 21   | 0    | -                         | -     | -   | -     | -     | -     |

| Proportion of requested<br>reviews resulting in a level<br>change |      |      |  |  |  |  |  |  |  |
|---|------|------|--|--|--|--|--|--|--|
| 2005  | 2006 | 2007 |  |  |  |  |  |  |  |
| 69%   | 18%  | 23%  |  |  |  |  |  |  |  |
| 49%   | 45%  | 42%  |  |  |  |  |  |  |  |
| -   | 0%   | -    |  |  |  |  |  |  |  |

Notes - validity of notes not checked for 2008 data

1. The percentages are historically calculated based on the cohort of 650,000 for each subject year-on-year.

2. The schools and pupil data excludes overseas schools

3. '-' indicates a percentage lower than 0.01%

4. The pupil count only includes pupil data where the review was accepted and both the pre-review and post-review test outcomes were valid levels. For examples, cases where an absent pupil 'A' has been ameded to a left school result 'L', have not been included in the count

5. The number of decimal places used for publishing percentages has varied between years. For consistency all figures have been rounded to two decimal places.

6. Group remarks were not conducted prior to 2005

## MARKING REVIEWS SUMMARY

# Key Stage 3

|      |                   | Schools | involved ir | n reviews | Reviews requested (pupils) |       | Reviews resulting in a lower<br>level (Pupil) |       |       | Reviews resulting in an increase in level (Pupil) |       |       |       |
|------|-------------------|---------|-------------|-----------|----------------------------|-------|---|-------|-------|---|-------|-------|-------|
|      | Reviews           | 2005    | 2006        | 2007      | 2005                       | 2006  | 2007  | 2005  | 2006  | 2007  | 2005  | 2006  | 2007  |
|      | Clerical review   | 117     | 390         | 80        | 2928                       | 1601  | 626 -   | 76    | 3     | 11  | 274   | 35    | 268   |
|      | Cierical review   | 117     | 390         | 00        | 2920                       | 1001  |   | 0.01% | 0.00% | 0.00%   | 0.04% | 0.01% | 0.04% |
| KS3E | Individual review | 337     | 257         | 392       | 2413                       | 4790  | 3446  | 18    | 5     | 10  | 1077  | 775   | 1521  |
| NOSE | mannadarreview    | 557     | 257         | 552       | 2415                       | 4730  | 3440  | 0.00% | 0.00% | 0.00%   | 0.17% | 0.12% | 0.23% |
|      | Group review      | 117     | 669         | 116       | 20544                      | 75133 | 75133 25549 -                                 | 581   | 107   | 488   | 2485  | 1825  | 3019  |
|      | Group review      | 117     | 009         | 110       | 20044                      | 70100 |   | 0.09% | 0.02% | 0.08%   | 0.38% | 0.28% | 0.46% |

| Proportion of requested<br>reviews resulting in a level<br>change |      |      |  |  |  |  |  |  |  |
|---|------|------|--|--|--|--|--|--|--|
| 2005  | 2006 | 2007 |  |  |  |  |  |  |  |
| 12%   | 2%   | 45%  |  |  |  |  |  |  |  |
| 45%   | 16%  | 44%  |  |  |  |  |  |  |  |
| 15%   | 3%   | 14%  |  |  |  |  |  |  |  |

|      |                   | Schools | involved ir | n reviews | Reviews requested (pupils) |      |       | resulting i<br>evel (Pupil |       | Reviews resulting in an increase in level (Pupil) |       |       |       |
|------|-------------------|---------|-------------|-----------|----------------------------|------|-------|----------------------------|-------|---|-------|-------|-------|
|      | Reviews           | 2005    | 2006        | 2007      | 2005                       | 2006 | 2007  | 2005                       | 2006  | 2007  | 2005  | 2006  | 2007  |
|      | Clerical review   | 364     | 394         | 338       | 684                        | 840  | 0 642 | 121                        | 107   | 81  | 417   | 366   | 368   |
|      | Clerical review   | 304     | 394         | 330       | 004                        | 040  |       | 0.02%                      | 0.02% | 0.01%   | 0.06% | 0.06% | 0.06% |
| кѕзм | Individual review | 304     | 308         | 295       | 604                        | 615  | 536   | 2                          | 0     | 1   | 403   | 401   | 283   |
| NO5M | individual review | 304     | 300         | 295       | 004                        | 015  | 550   | -                          | -     | -   | 0.06% | 0.06% | 0.04% |
|      | Group review      | 0       | 1           | 0         | 0                          | 230  | 0     | 0                          | 5     | 0   | 0     | 11    | 0     |
|      | Group review      | 0       | I           | 0         | 0                          | 230  | 0     | -                          | 0.00% | -   | -     | 0.00% | -     |

| Proportion of requested      |
|------------------------------|
| reviews resulting in a level |
| -                            |

| 2005 | 2006 | 2007 |
|------|------|------|
| 79%  | 56%  | 70%  |
| 67%  | 65%  | 53%  |
| -    | 7%   | -    |

|      |                   | Schools involved in reviews |      | Reviews requested (pupils) |      | Reviews resulting in a lower<br>level (Pupil) |      | Reviews resulting in an increase in level (Pupil) |       |       |       |       |       |
|------|-------------------|-----------------------------|------|----------------------------|------|---|------|---|-------|-------|-------|-------|-------|
|      | Reviews           | 2005                        | 2006 | 2007                       | 2005 | 2006  | 2007 | 2005  | 2006  | 2007  | 2005  | 2006  | 2007  |
|      | Clerical review   | 542                         | 557  | 551                        | 1071 | 1185  | 1106 | 188   | 116   | 76    | 673   | 601   | 497   |
|      | Clerical review   | 542                         | 557  | 551                        | 1071 | 1100  |      | 0.03%   | 0.02% | 0.01% | 0.10% | 0.09% | 0.08% |
| KS3S | Individual review | 561                         | 552  | 720                        | 2298 | 1976  | 2651 | 8   | 5     | 3     | 832   | 779   | 898   |
| 1000 | Individual leview | 501                         | 552  | 720                        | 2290 | 1970  |      | 0.00%   | 0.00% | 0.00% | 0.13% | 0.12% | 0.14% |
|      | Group review      | 4 2                         | 2    | 3                          | 870  | 426   | 825  | 35  | 18    | 15    | 61    | 21    | 22    |
|      |                   |                             | 2    | J                          |      |   |      | 0.01%   | 0.00% | 0.00% | 0.01% | 0.00% | 0.00% |

| Percentage of requested      |  |  |  |  |
|------------------------------|--|--|--|--|
| reviews resulting in a level |  |  |  |  |
|                              |  |  |  |  |

| 2005 | 2006 | 2007 |  |  |
|------|------|------|--|--|
| 80%  | 61%  | 52%  |  |  |
| 37%  | 40%  | 34%  |  |  |
| 11%  | 9%   | 4%   |  |  |

Notes - validity of notes not checked for 2008 data

1. The percentages are historically calculated based on the cohort of 650,000 for each subject year-on-year.

2. The schools and pupil data excludes overseas schools

3. '-' indicates a percentage lower than 0.01%

4. The pupil count only includes pupil data where the review was accepted and both the pre-review and post-review test outcomes were valid levels. For examples, cases where an absent pupil 'A' has been amended to a left school result 'L', have not been included in the count

5. The number of decimal places used for publishing percentages has varied between years. For consistency all figures have been rounded to two decimal places.

6. Group remarks were not conducted prior to 2005